

# A PARTICIPATORY FOREST MANAGEMENT PLANNING APPROACH SUPPORTED BY MULTICRITERIA DECISION METHODS

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Integrated research on  
Forest Resilience and  
Management in the  
mDeltanaseen



CIMA - UE

# THE NEED TO DECOMPOSE THE PROBLEM...

- LANDSCAPE LEVEL MANAGEMENT PLANNING OF FOREST AREAS TYPICALLY INVOLVES SEVERAL STAKEHOLDERS WITH MULTIPLE INTERESTS, WHICH COMPLICATES THE DEVELOPMENT OF JOINT LANDSCAPE LEVEL MANAGEMENT PLANS AND THE PROVISION OF ECOSYSTEM SERVICES.
- THE DECOMPOSITION TECHNIQUE IS APPLIED IN FOREST OPTIMIZATION PROBLEMS, WITH LARGE NUMBER OF DECISION VARIABLES.
- IT'S USED TO SUPPORT AN ECONOMIC PLANNING PROCESS AT DISTINCT LEVELS: PLANNING ENTITIES (UPPER LEVEL) AND OTHER STAKEHOLDERS (LOWER LEVEL).
- THUS, MULTIOBJECTIVE OPTIMIZATION TOOLS (EG. PARETO FRONTIER VISUALIZATION TECHNIQUES) ARE USED TO SUPPORT NEGOTIATIONS.

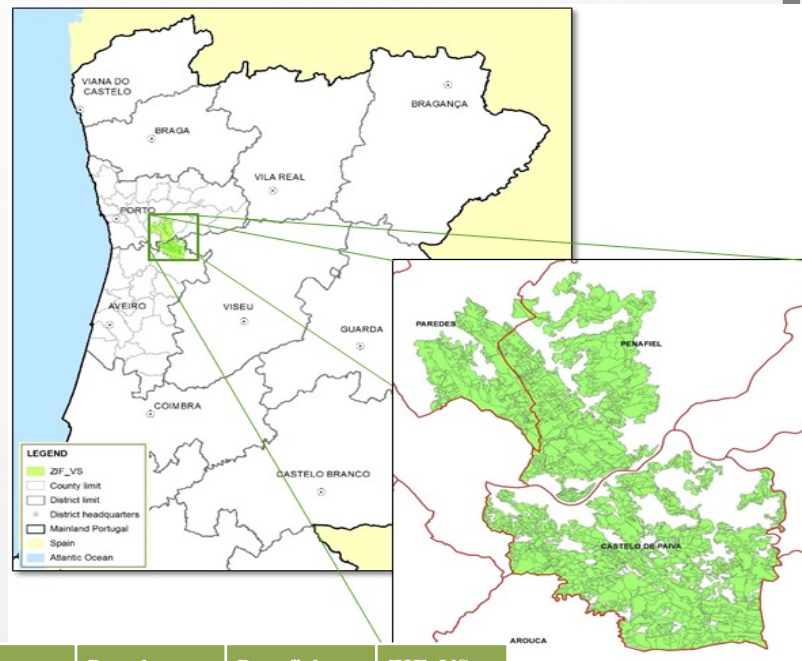
# CASE STUDY

About 14 388 ha with 1976 management units and 330 landowners,

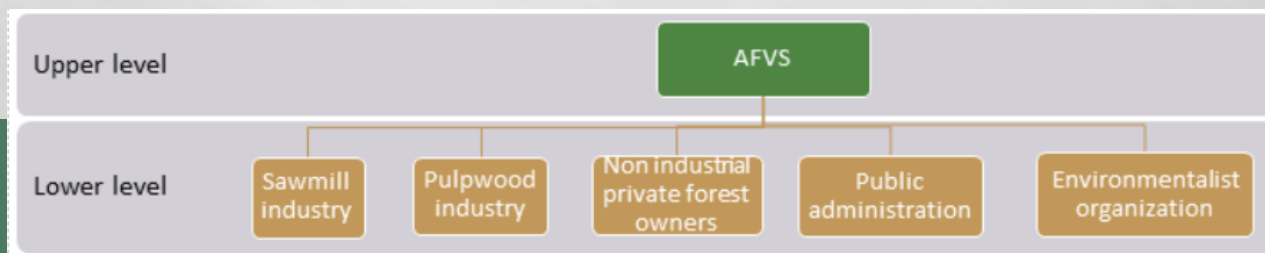
Dominated by eucalypt pure stands (66%) and mixed stands of eucalypt and maritime pine (33%). The remaining area is occupied by hardwoods, specially chestnuts.

- Ecosystem services:

- Eucalypt pulpwood,
- Maritime pine saw logs;
- Chestnut saw logs ;
- Carbon storage and
- Volume of ending inventory.



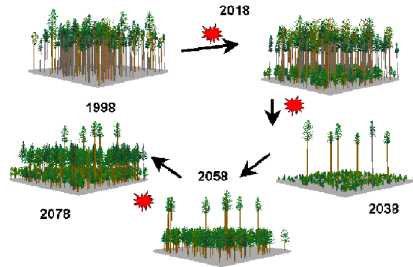
	Paiva	Paredes	Penafiel	ZIF_VS
Forested area (ha)	7626.27	2138.74	5085.38	14832
Number of management units	1293	235	654	2182
MU average area (ha)	5.9	9.1	7.8	6.8
MU max area (ha)	100.2	99.5	97.47	100.2
MU min area (ha)	0.5	0.5	0.5	0.5





# WORKFLOW

FMA simulation for all stands in each county (block)



Forest evolution scenarios, ES provision



Mathematical model building

$$\sum_{j=1}^{M_i} x_{ij} = a_i, \quad i = 1, \dots, N$$

$$\sum_{i=1}^N \sum_{j=1}^{M_i} pine_{ij} x_{ij} = Pine W_t, \quad t = 1, \dots, T$$

$$\sum_{i=1}^N \sum_{j=1}^{M_i} eucalypt_{ij} x_{ij} = Eucalypt W_t, \quad t = 1, \dots, T$$

$$\sum_{i=1}^N \sum_{j=1}^{M_i} chestnut_{ij} x_{ij} = Chestnut W_t, \quad t = 1, \dots, T$$

Forest Management Plan

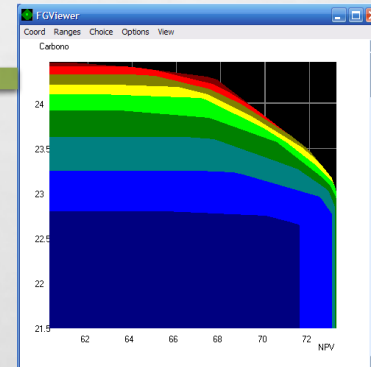
```

rfp-test - grupo 1.sol - Notepad
File Edit Format View Help
[VH_Euc] = 14606871.000000
[VH_Pb] = 688991.281250
[VH-Cs] = 60858.296875
[CTOTAL] = 583166.312500
[Vol_Per9] = 1519389.000000
[TOTALTIMBER] = 15497257.000000
[FMP3-Cs] = 68.000000
[Presc1_Pa1000_Ec] = 0.000000
[Presc2_Pa1000_Ec] = 0.000000
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```

Negotiation process

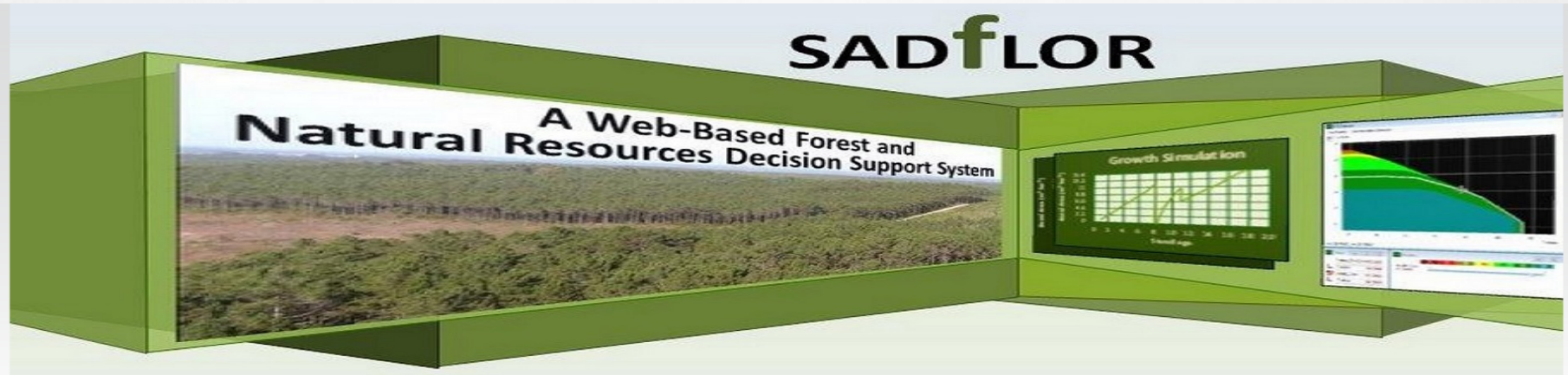


Ecosystem services tradeoffs analysis





# SADFLOR - A WEB-BASED FOREST AND NATURAL RESOURCES DSS



## Welcome to SADfLOR

The SADfLOR web application is a decision support system to Eucalypt, Maritime Pine, Umbrella Pine and Chestnut stands. More...

Username:

Password:

- ▶ New version to Maritime Pine simulator.
- ▶ New Management Area: Vale do Sousa.
- ▶ Incorporated the Pareto Frontier.

[Seleccionar idioma](#) ▼

Tecnologia do [Google Tradutor](#)



- 84000 stand-level prescriptions
  - 90-year planning horizon
  - 1 year periods

# LP PROBLEM FORMULATION

1<sup>st</sup> step

## LOWER LEVEL

$$\left. \begin{array}{l} (Wood_{Paiva}, Carb_{Paiva}, VEI_{Paiva}) \\ (Wood_{Paredes}, Carb_{Paredes}, VEI_{Paredes}) \\ (Wood_{Penafiel}, Carb_{Penafiel}, VEI_{Penafiel}) \end{array} \right\} \rightarrow \max$$

## LOWER AND UPPER LEVEL

$$\sum_{j=1}^{M_i} x_{ij} = a_i, \quad i \in I_k$$

$$W_{kt} = \sum_{i \in I_k} \sum_{j=1}^{M_i} w_{ijt} x_{ij}, \quad t = 1, \dots, T$$

$$Wood_k = \sum_{t=1}^T W_{kt}$$

$$CARB_{kt} = \sum_{i \in I_k} \sum_{j=1}^{M_i} carb_{ijt} x_{ij}, \quad t = 1, \dots, T$$

$$Carb_k = \sum_{t=1}^T CARB_{kt} / T$$

$$VEI_k = \sum_{i \in I_k} \sum_{j=1}^{M_i} vei_{ij} x_{ij}$$

$$x_{ij} \geq 0, \quad \forall i, j$$

2<sup>nd</sup> step

## UPPER LEVEL

$$Wood_{VS} \rightarrow \max, \quad Carb_{VS} \rightarrow \max, \quad \text{and} \quad VEI_{VS} \rightarrow \max$$

$$Wood_{VS} = \sum_{k=1}^K Wood_k$$

$$Carb_{VS} = \sum_{k=1}^K Carb_k$$

$$VEI_{VS} = \sum_{k=1}^K VEI_k$$

3<sup>rd</sup> step

## UPPER LEVEL USING DECOMPOSITION METHOD

$$a_i^{Paiva} Wood_{Paiva} + b_i^{Paiva} Carb_{Paiva} + c_i^{Paiva} VEI_{Paiva} \leq d_i^{Paiva}, \quad i = 1, \dots, m^{Paiva},$$

$$a_i^{Pared} Wood_{Paredes} + b_i^{Pared} Carb_{Paredes} + c_i^{Pared} VEI_{Paredes} \leq d_i^{Pared}, \quad i = 1, \dots, m^{Pared},$$

$$a_i^{Penaf} Wood_{Penafiel} + b_i^{Penaf} Carb_{Penafiel} + c_i^{Penaf} VEI_{Penafiel} \leq d_i^{Penaf}, \quad i = 1, \dots, m^{Penaf},$$

where  $a_i^k$ ,  $b_i^k$ ,  $c_i^k$  and  $d_i^k$  stand for the coefficients and the independent term of linear constraint  $i$  used to approximate the Pareto frontier of the sub area  $k$  problem, while  $m^k$  stands for the number of linear constraints used for this approximation. In this application a total of 142 linear inequations was used to approximate the Pareto frontier of the 3 sub problems.

# PARETO FRONTIER MODULE

Feasible Goal X3: VSousaNN.cplex

File Construct Analyse Solution Options Help

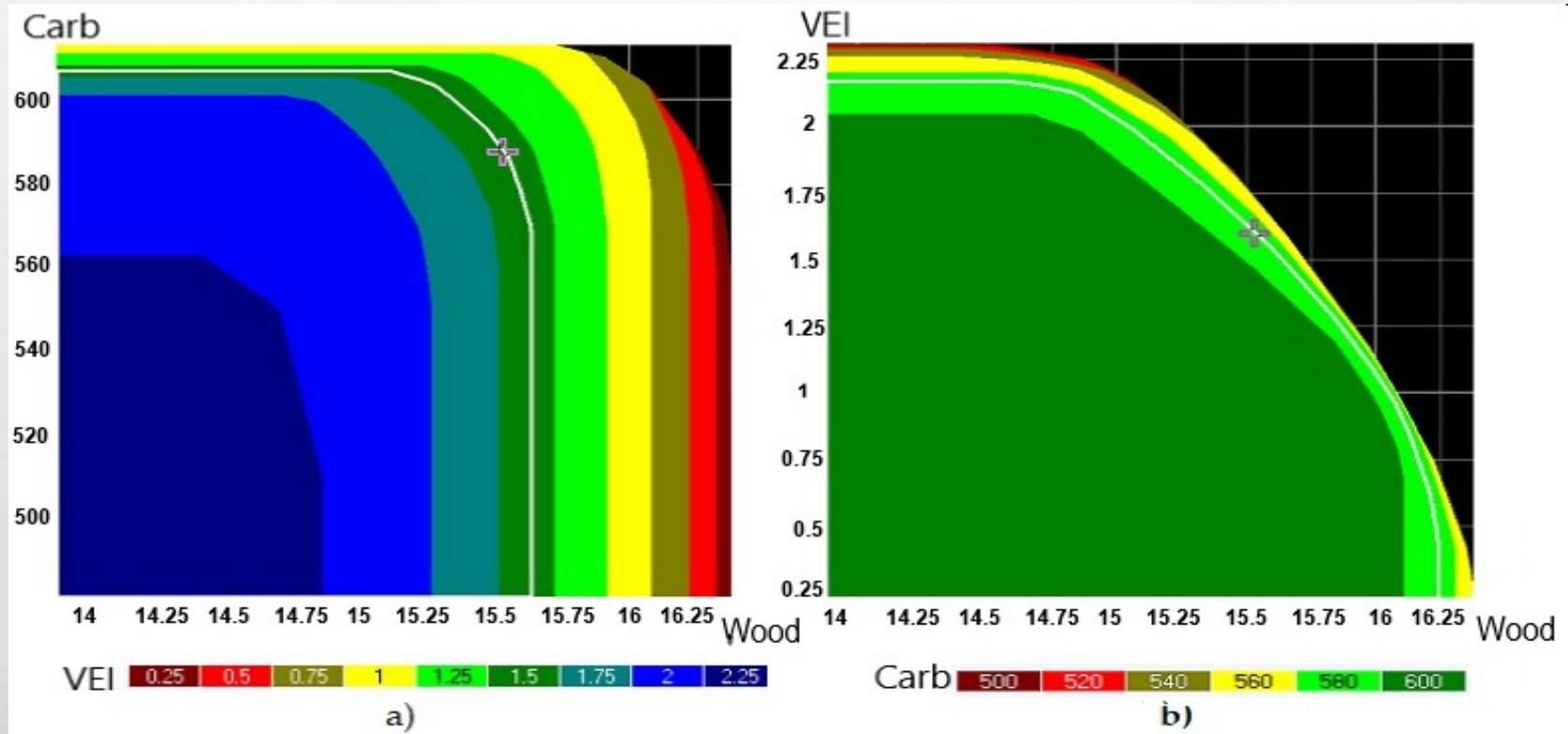
Set: work

	Cr	Name	Min	Value	Max	Unit
1		VH_Euc	...		...	(10^6_m3)
2		VH_Pbravo	...		...	(10^6_m3)
3		VH_Castan	...		...	(10^6_m3)
4	max	Madeira	...		...	(10^6_m3)
5	max	CarbMedio	...		...	(10^3_ton)
6		C_Euc	...		...	(Unit)
7		C_Pbravo	...		...	(Unit)
8		C_Castanheiro	...		...	(Unit)
9	max	VolEI	...		...	(10^6_m3)
10		VolEI_Euc	...		...	(Unit)
11		VolEI_Pb	...		...	(Unit)
12		VoleEI_Cs	...		...	(Unit)
13		AreaFMP1_Euc	...		...	(ha)
14		AreaFMP2_Euc	...		...	(ha)
15		AreaFMP4_Euc	...		...	(ha)

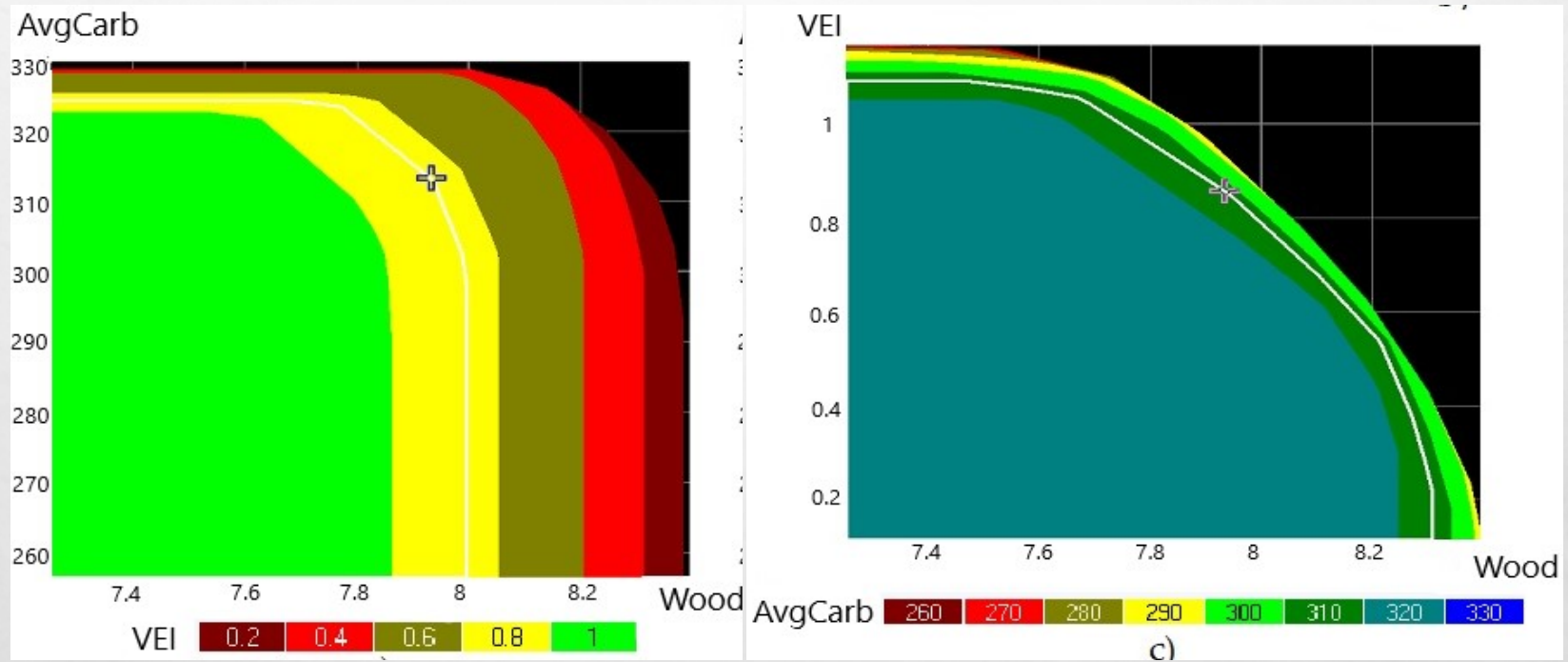
Selected: 3 criteria



# DECOMPOSITION RESULTS – FULL MODEL UPPER LEVEL



# DECOMPOSITION RESULTS – LOWER LEVEL (E.G. PAIVA)



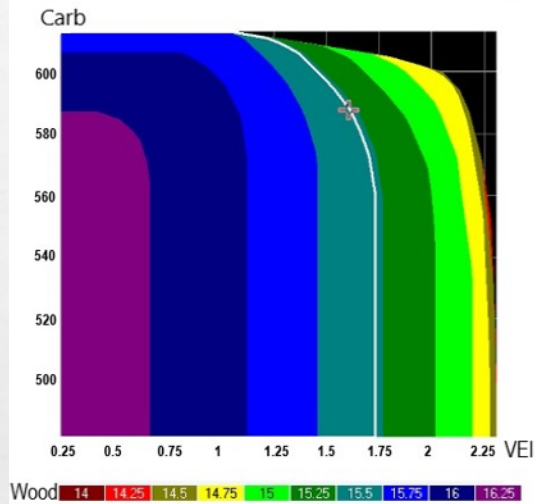
# DECOMPOSITION RESULTS

	Computation cost in seconds		Dimension of LP problems		
	CPLEX (10% precision)	CPLEX (1% precision)	Rows	Columns	Non zeros
<b>EPH for Paiva sub-area</b>	119	737	3515	46355	15394015
<b>EPH for Paredes sub-area</b>	16	106	2392	8884	2307164
<b>EPH for Penafiel sub-area</b>	72	417	2790	20844	6504067
<b>Joining separate sub-area's EPH</b>	1	1	-	-	-
<b>Full time for approximating the EPH using the decomposition method</b>	208	1261	-	-	-
<b>Full time for approximating the EPH using the full model</b>	556	3728	8700	76086	24250258

Problem solving considered two linear programming solvers (CPLEX and GLPK) and a personal computer with an Intel Core i7 processor with 1.60 GHz frequency and 8 Gb memory.



# NEGOTIATION PROCESS



Save  
Management Plan

paredes100.log NULL NULL

BW CLR AllActiveMU

Harvest area per year Delay 100

Year: 2038 Show

MadEc = 6263.3 Next year

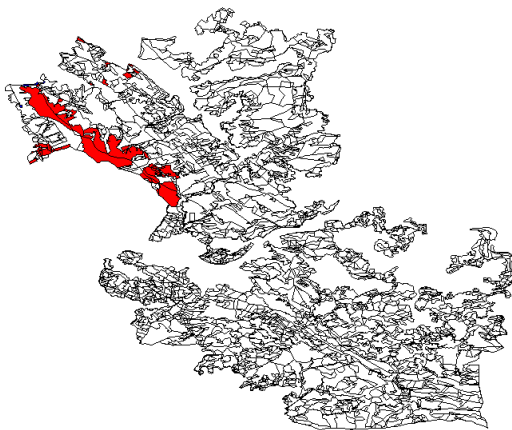
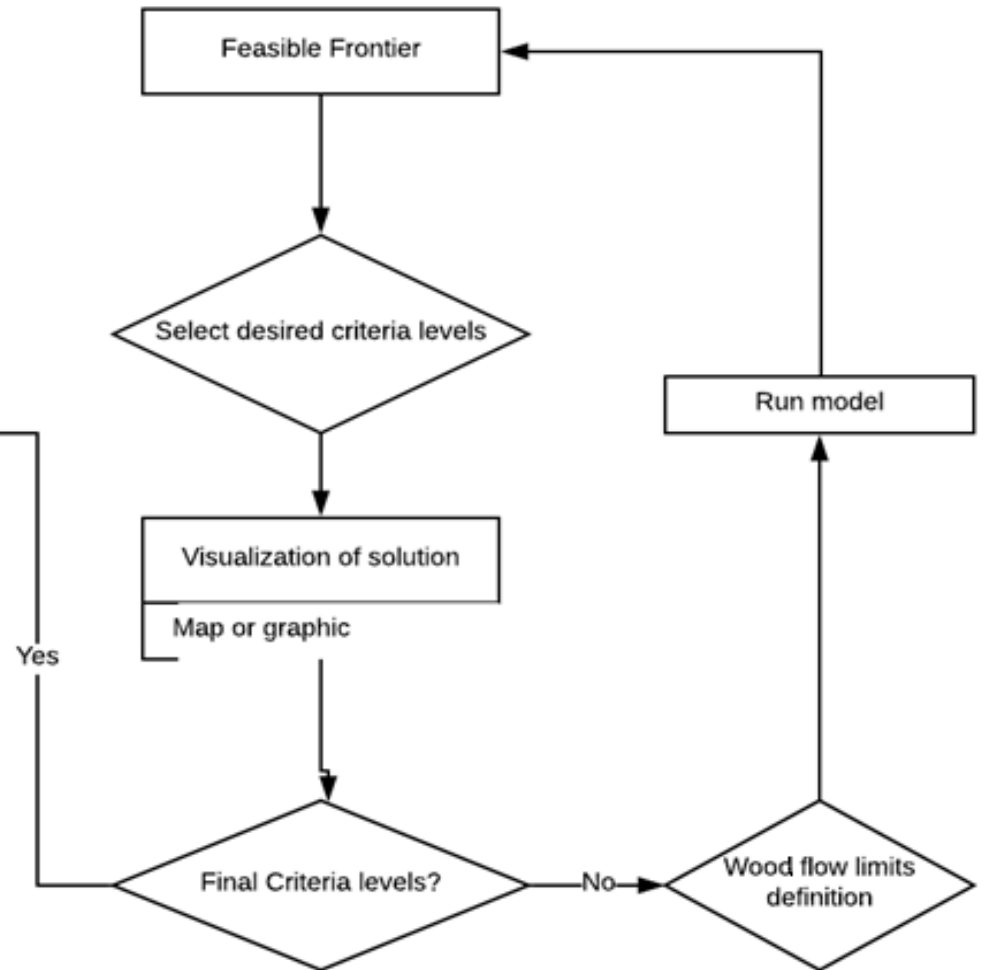
MadPb = 0 Back

MadCl = 162.6

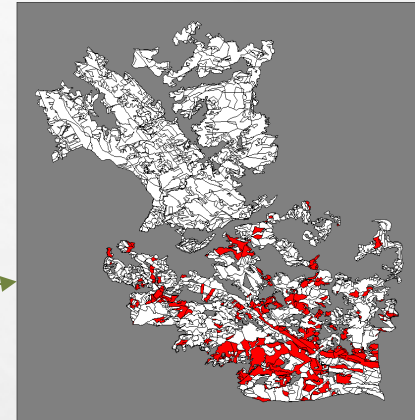
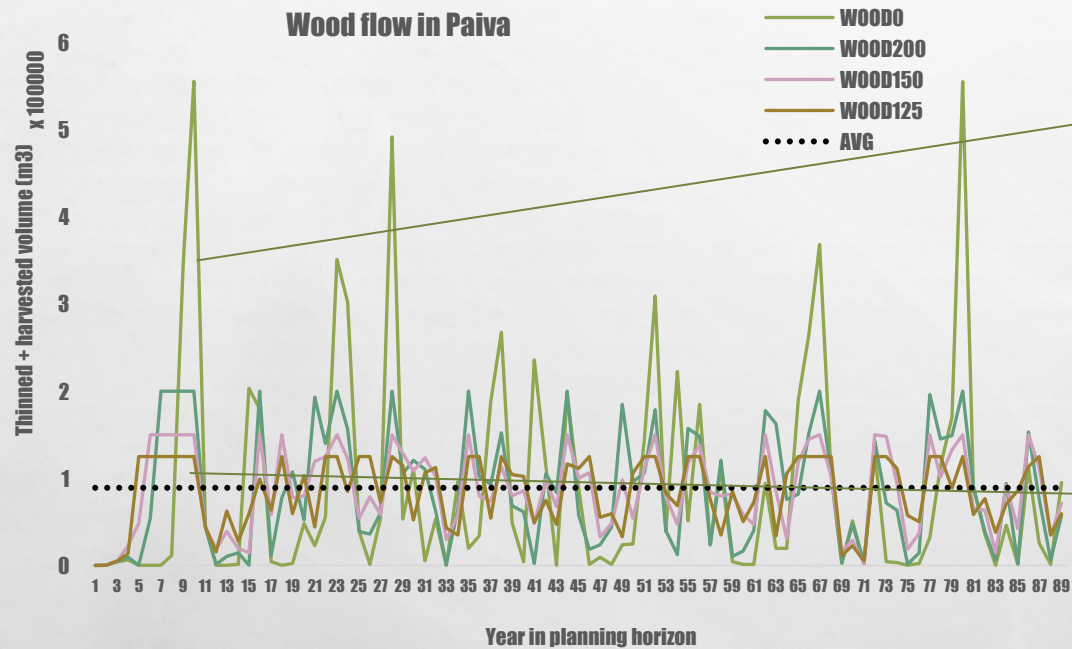
MadTot = 6425.9

Zoom 173358 Reset

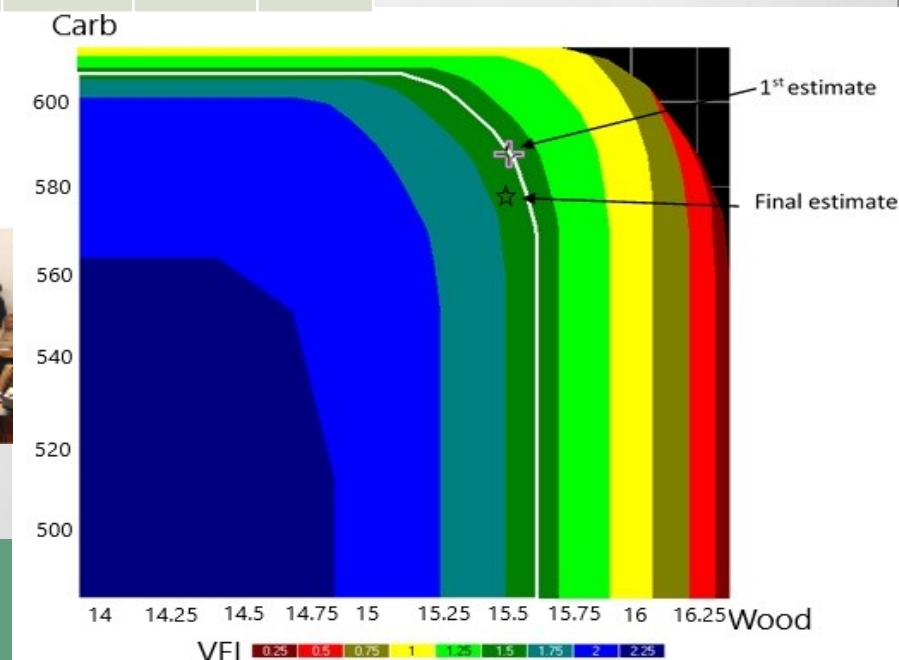
Wood per year



# RESULTS VISUALIZATION MODULE



Area		ValeSousa		Paiva		Paredes		Penafiel	
ES		1 <sup>st</sup>	Last	1 <sup>st</sup>	Last	1 <sup>st</sup>	Last	1 <sup>st</sup>	Last
Wood (m <sup>3</sup> x 10 <sup>6</sup> )	Range	13.88 – 16.38		7.25-8.39		1.71-2.07		4.93 – 5.91	
	Solution	15.53	15.51	7.93	7.98	2.01	1.98	5.59	5.55
Carbon Stock (Mg x 10 <sup>3</sup> )	Range	481.54 - 613.07		256.41 – 328.78		54.02 – 69.82		171.57– 214.48	
	Solution	588	574	313.2	303	68.5	67	206.0	204
VEI (m <sup>3</sup> x 10 <sup>6</sup> )	Range	0.23 – 2.31		0.12 – 1.17		0.012– 0.28		0.09 – 0.86	
	Solution	1.6	1.62	0.86	0.79	0.15	0.18	0.59	0.65





# REMARKS AND FINAL CONSIDERATIONS

- THIS APPROACH CONTRIBUTES TO ADDRESS COMPUTATIONAL CONSTRAINTS ASSOCIATED TO THE SOLUTION OF COMPLEX FOREST RESOURCES MANAGEMENT PLANNING.
- BI-LEVEL PLANNING MAY HELP DEVELOP SATISFACTORY SOLUTIONS FOR BOTH THE UPPER AND THE LOWER LEVEL DECISIONMAKERS.
- OUR RESULTS DID DEMONSTRATE THE EFFICIENCY OF THE PROCESS FOR BUILDING THE PARETO FRONTIER OF VERY LARGE PROBLEMS FROM THE PARETO FRONTIERS OF SMALLER AND COMPUTATIONAL SIMPLER SUB PROBLEMS.
- THIS WILL BE INFLUENTIAL FURTHER TO ADDRESS MORE COMPLEX PROBLEMS THAT NEED INTEGER SOLUTION APPROACHES.
- THE PROVISION OF SEVERAL ECOSYSTEM SERVICES DOES DEPEND ON THE SPATIAL CONDITIONS GENERATED BY THE HARVEST SCHEDULING PLANS OUR DECOMPOSITION APPROACH MAY CONTRIBUTE TO THE POSSIBILITY OF BUILDING PARETO FRONTIERS OF COMPLEX SPATIAL OPTIMIZATION MODELS.

MARCH 7<sup>TH</sup> 2019

PUERTO VARAS, CHILE

**S. Marques**, V. A. Bushenkov, A. V. Lotov, M. Marto and J. G. Borges 2019. Bi-level participatory forest management planning supported by Pareto frontier visualization. Forest Science, DOI: 10.1093/forsci/fxz014 Accepted (January 11<sup>th</sup>)

**THANK YOU!**  
**GRACIAS!**  
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SFRH/BPD/96806/2013 (SM)

SFRH/BD/108225/2015 (MMt)